

PATENT

Examiner: Ray, Gopal C.

2111

Art Unit:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Peter R. Munguia

Application No.: 10/810,026

Filed:

March 26, 2004

For: ARBITRATION BASED POWER

MANAGEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 24, 2006 (Date of Deposit)

Dianne Neathery

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

Appl. No.: 10/810,026 Filing Date: March 26, 2004 - 1/2 -

Atty. Docket: 42P18957

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 24, 2006 Michael J. Mallie

Reg. No. 36,591

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Appl. No.: 10/810,026 Filing Date: March 26, 2004 - 2/2 -

Atty. Docket: 42P18957

Substitute for Form 1449/PTO					Complete if Known				
OIPE INFORMATION DISCLOSURE					Application Number	10/810,026			
011 5	4			Filing Date	March 26, 2004				
	STATI	EME	NT BY APPLICANT	First Named Inventor:	Peter R. Munguia				
JUL 27		(use as	many sheets as necessary)	Art Unit	2111				
(A)					Examiner Name	Ray, Gopal C.			
SHOUBARE	1		of	2	Attorney Docket Number	42P18957			
U.S. PATENT DOCUMENTS									
Examiner Cite No.¹			Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Numb	er-Kind Code ² (If known)						
		US-	5,825,854	10-20-1998	Larson et al.				
		US-	5,949,891	09-07-1999	Wagner et al.				
	. =	US-	6,304,645 B1	10-16-2001	Holland et al.				
		US-	6,404,883 B1	06-11-2002	Hartmeier, Martina J.				
		US-	6,418,201 B1	07-09-2002	Holland et al.				
		US-	6,556,672 B1	04-29-2003	Holland et al.				
		US-	6,642,942 B1	11-04-2003	Crook, John				
		US-	6,741,791 B1	05-25-2004	Wymore et al.				
		US-	6,792,102 B2	09-14-2004	Shires, Glen E.				
		US-	6,853,720 B2	02-08-2005	Ramachandran				
		US-	6,920,216 B2	07-19-2005	Finnie et al.				
		US-	2002/0083241 A1	06-27-2002	Moller, Karl-Magnus				
		US-	6,073,244	06-06-2000	lwazaki, Yasuo				
		US-	5,628,019	05-06-1997	O'Brien, Rita M.				
		US							
		US-							
		US-							
	V	US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							
		US-							

Examiner	Date Considered	
Signature		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

		440/575					Commis	oto if Known		
Substitute for Form 1449/PTO			_ -	Application Number 10/810,026						
INFORMATION DISCLOSURE					iling Date		March 26, 2004			
STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor: Peter R. Munguia Art Unit 2111						
(200 20 1121)						xaminer Name		Ray, Gopal C.		
Sheet	2		of	2		ttorney Docket Numb	ber	42P18957		
Onoce						RATURE DOCUME				
Examiner Initials*	Cite No ¹	Include ite	e name of	the author (in (CAPITAL	LETTERS), title of th	ne article (v g, etc.), dat	when appropriate), title of the e, page(s), volume-issue blished	T ²	
				port and Writt nuary 25, 200			008998, Ir	nt'l. Filing Date March 18,		
<u>.</u>										
•	-									
						3.00 W				
			·			······································				
					•	15/7/2020				
						4 11 4 11 4 11 4 14 4 14 14 14 14 14 14				

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.